Regulatory information
(FinSA client information)

Based on the legal requirements of Art. 8ff of the Financial Services Act (**FinSA**), we supply you with this information sheet, which provides an overview of Richfox Capital Investment Management AG (**the Company**) and its services.

1. Company information

- Address

Richfox Capital Investment Management AG
Talstrasse 83
8001 Zürich
Switzerland
Email: operations@richfox.com
Website: www.richfox.com

The Company was established in 2022.

- Supervisory authority and audit firm

The Company has been licensed as a manager of collective assets in accordance with the Financial Market Supervision Act since 2023 and is therefore subject to the prudential supervision of the Swiss Financial Market Supervisory Authority (**FINMA**).

As part of this supervision, the Company is audited by BDO AG (**Auditor**) both in terms of supervisory law and obligations. The addresses of FINMA and the Auditor can be found below.

FINMA

Eidgenössische Finanzmarktaufsicht FINMA
Laupenstrasse 27
3003 Bern
Phone: +41 31 327 91 00
Email: info@finma.ch
Website: www.finma.ch

Auditor

BDO AG
Schiffbaustrasse 2
8031 Zürich
Phone: +41 44 444 35 55
Email: info@bdo.ch
Website: www.bdo.ch

2. Information on the financial services offered

The Company provides portfolio management and asset management services to collective investment schemes (the **Services**). For further information on the general risks, specifications, and operating procedures, please refer to the relevant fund prospectus, factsheets, asset management agreement and/or any related documents (the **Documents**).

The Company does not guarantee any yield or performance from its investment activities. Therefore, investment activities can lead to both an increase and a decrease in value.

The Company has all the necessary licenses to perform the services described above.

3. Client segmentation

Financial service providers are required to classify their clients into a client segmentation according to the law. FinSA provides for retail clients, professional clients and institutional clients' segments. For each client, client classification is determined based on the nature and terms of the client's relationship with the Company. Subject to certain conditions, the client may change the client classification by opting out or opting in.

The Company provides services only to professional and institutional investors. As such, the Company is not affiliated with an ombudsman's office.

4. Information on risks and costs

- **General risks associated with financial instrument transactions**

  The Services involve financial risks. The Company shall provide all clients with the *Risks associated with Financial Instruments Transactions* brochure before the execution of the relationship agreement. This brochure can be found at www.swissbanking.ch.

  Clients may contact the Company in case of any further questions.

- **Risks associated with the services offered**

  For a description of the various risks that may arise from the investment strategy for clients' assets, please refer to the relevant Documents.

  For a description of the various risks that may arise from the Services, please refer to the relevant Document(s).

- **Information on costs**

  A fee is charged for the services rendered, which is usually calculated on the assets under management and/or on a performance basis.

  For more detailed information, please refer to the relevant Document(s).

5. Information about relationships with third parties

In connection with the financial services offered by the Company, economic ties may exist with third parties.

The acceptance of payments from third parties, as well as their treatment, is regulated in detail and comprehensively in the respective Documents.

6. Information on the market offer considered

The Company follows an open universe approach and tries to make the best possible choice for the client when selecting financial instruments.

The Company's own collective investment schemes can, where appropriate, be used across the client portfolios.